Today’s Topics

14/15 Meetings
13/14 Wrap Up
14/15 Budget Review
Upcoming Topics

- September 16 – Budget/Financial Review
- October 21 – Temporary FTE & TA FTE Allocation
- November 19 – Graduate Student Growth & Excellence Initiative

Please send me an email if you have ideas for meeting topics
7/1/14 salary increases for academics, non-rep & CX staff included in year-end staffing

Check auto-costing reports to ensure that received funds

Sub-0 questions: contact Denise (dechristensen@ucsd.edu)

Sub-1 questions: contact Hang (hphung@ucsd.edu)
Order of funding: merits, CMSI (new salary program), range

Because range adjustments are now 7/1/14 instead of in October, important that departments/divisions enter all known faculty merits before range implementation process, which begins in June
Faculty Salaries: Career Milestones Salary Incentive

- New program, effective 7/1/14
- One of many strategies to maintain competitive salaries for faculty
- Acknowledges significant career milestones with a special salary increase
  - Promotion from Asst Prof to Assoc Prof: $4,000
  - Promotion from Assoc Prof to Prof: $6,000
  - Advancement to or thru Prof VI: $6,000
- Added as market off-scale component
13/14 Academic Affairs Fund Balances

- Fund balances in core funds decreased from $151M in 12/13 to $141M in 13/14
- $11M balance in program 403000 (faculty startup/retention); anticipate that this balance will continue to decrease
- Some units have increasing balances; some units have decreasing balances
Requests for Reports on 13/14 Initiatives

- GCCP
- GSGEI
- Faculty Startup/Retention
Report information includes surveys of faculty and administrative staff, participant demographics, salaries, fund sources, teaching responsibilities, graduate student support.

Annual report due to departments, deans, CAP, Academic Senate and UCOP by November 1.
10% of Negotiated Salary Increment to be held by department

Will soon request that participating departments send a summary of the contingency fund, including the index where it is held, as well as the department total

When contingency fund is more than 20% of negotiated salary increment, departments can choose to spend amount above 20%
Will soon request report on use of funds, as well as an explanation of any carry forward balance

Report should break out total funds used for fellowships and for GSRships, including index(es) and account codes charged for each

Carry forward balance explanation should include how funds will be spent and an estimated date for spending out the funds
Faculty Startup/Retention Balance Reporting

- Request for report on the faculty startup/retention balances held by departments and divisions
- Report should include balances in all programs (e.g., 403000, 400000, 440000)
- Report elements include
  - Faculty Name
  - Hire Date
  - Index(es)
  - Amount
Reminder: Faculty Startup & Retention Program Code

- Use program 403000 for all faculty startup and retention expense, except graduate financial aid (program 78xxxx)
- Do not use program 400000 or 440000 for faculty startup expense unless that program is required by the funding source (e.g., grant or gift)
- Use of 403000 facilitates reporting on expenditures and separates costs from department operating expense
- For faculty hires and retentions effective 7/1/14 or later, the EVC’s office will only transfer funds for expense on programs 403000 and 78xxxx
New Program Code: 404000 – Faculty Support Allocs

New program code created to allow departments to better segregate faculty support allocations and expenses from ongoing departmental operations

Use of this program code is not required, but is recommended
Governor’s budget provides 5% increase in UC budget
No student tuition increases approved
Overall increase in core funds budget of 2.48%
  - Not enough to cover compensation cost increases
Additional tuition revenue from enrollment growth
Net research overhead recovery income is expected to decline
### 14/15 Enrollment Estimates

- Current 2014/15 enrollment estimates are 505 FTE less than our Long Range Enrollment Plan
  - Significant impact on Revenue streams
  - Split between Residents and Nonresidents may shift
  - Actual enrollment in October

- Current estimate of continuing/returning undergraduates shows fewer students enrolled than expected – possibly due to improved time-to-degree (pending review of graduation data)

<table>
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<tr>
<th>FWS FTE</th>
<th>13/14 Actual</th>
<th>14/15 Estimated</th>
<th>Change</th>
<th>14/15 LREP</th>
<th>Difference LREP to Est</th>
<th>% Diff</th>
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<tbody>
<tr>
<td>Undergraduate</td>
<td>22,949</td>
<td>23,900</td>
<td>951</td>
<td>24,200</td>
<td>(300)</td>
<td>(1.2%)</td>
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<td>Resident</td>
<td>19,424</td>
<td>19,340</td>
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<td>19,600</td>
<td>(260)</td>
<td>(1.3%)</td>
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<tr>
<td>Nonresident</td>
<td>3,525</td>
<td>4,560</td>
<td>1,035</td>
<td>4,600</td>
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<td>(0.9%)</td>
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<tr>
<td>Graduate (GC)</td>
<td>4,019</td>
<td>4,120</td>
<td>101</td>
<td>4,325</td>
<td>(205)</td>
<td>(4.7%)</td>
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Source: Institutional Research, August 2014
Align resources with the goals of the strategic plan

VCs submit budget requests to Chancellor

Requests evaluated and funded
  ◦ New allocations, or
  ◦ Existing balances

Chancellor establishes “spending authority” for each VC area

Actual spending is compared to spending authority level and VCs must explain any variance
14/15 Fund Sources for EVC Allocations

- Temporary FTE – 19900A
- TA FTE – 19900A
- Admin Stipends & Ninths – 19900A
- Equipment Allocation – 19900A
- Equipment Matching – 19933A
- Faculty Startup & Retention – 19933A (AH/SS) or combination 19933A/19942A/05397A (Bio/JSOE/PS)
- Undergraduate Seminars – 19900A
- Graduate Support – 19942A/20095A
- Summer Admin Support – 20095A
Annual Allocations
14/15 allocation for undergraduate instruction (which includes funding for temporary faculty and teaching assistants) will be updated in September and in April.

Funding will be re-calculated for each division, updating course counts and enrollment:
- September: Fall 2013, Winter 2014, Spring 2014
- April: Spring 2014, Fall 2014, Winter 2015 enrollment

Check with your Dean’s Office to find out whether your department will receive additional funding.
Undergraduate Instruction Allocation

- Temporary FTE value is $61,210
- TA FTE value is $37,076
- Funds transferred to Deans in October and in April
Summer Session Support

- Funds transferred to department indexes in 20290A to cover actual costs of instructors and TA/readers – in November

- Summer TA Balance
  - Allocation is compared to actual TA expense – balance is allocated to department for TAs in academic year
  - Fund source is 19900A

- Summer admin support
  - Fund source is 20095A
The EVCAA has requested that the carry forward of block grant funds allocated by OGS will be strictly limited to no more than 20% of the annual allocation. The funds subject to this limitation include Block Grant (BG), OP Match and Return-to-Aid (RTA) funds. The limit will be phased in as follows:

- 6/30/14 = 60%
- 6/30/15 = 40%
- 6/30/16 = 20%

Re-appropriated funds will be used at the discretion of the EVCAA for other financial support programs that directly benefit graduate students.
Graduate Student Growth & Excellence Initiative – 14/15

- Allocation will be equal to the NRST revenue generated by a program’s 1st & 2nd year PhD students.
- NRST for graduate academics is $5,034 per quarter, or $15,102 per year
- Timing of allocation
  - Fall 2014 – by November
  - Winter 2015 – by February
  - Spring 2015 – by May
- Fund source is 19942A
- The allocation must be used only for graduate financial support, as stipend or fee payments or GSR salary and tuition remission. No other use of the funds is permitted.
Non-Resident Graduate Growth Incentive – Masters Students

- The allocation will continue to be $5,000 per student, with a portion of the funding allocated to the division and a portion allocated to OGS.
- Unlike the GSGEI, this incentive funding can be used for departmental support as well as for graduate support.
- Fund source is 19942A.
- Allocation will be made by May.
GSR Child Care Reimbursement

- Must be employed as a GSR for at least 25% time to be eligible for reimbursement
- Effective Summer 2014 GSR may be reimbursed for up to $900 per quarter
- Student’s academic home department processes payment (via payroll)
- Reimbursement should be paid from fund 19942A on a department index
- EVC will transfer funds annually to cover costs for Academic Affairs departments
- No request is required; reimbursement will be calculated from DOPEs by summarizing GSR pay on DOS code BXC and fund 19942A by index
ASE Child Care Reimbursement

- Must be employed in an ASE title (TA/reader/tutor) for at least 25% time to be eligible for reimbursement
- May be reimbursed for up to $900 per quarter
- Student’s employment department processes payment (via payroll)
- Reimbursement typically made from a department’s TA allocation
Stipends/Ninths for Administrative Service

- Allocated for department chairs
- Transfers processed in October, after summer ninths have been paid, to the index(es) used to pay the stipend and ninths
- Chairs may request that funds are allocated to a research account in lieu of salary
  - Email to Kathy is sufficient
Senate Chair or CAP Chair: Provide support equal to 22% of annual covered compensation rate to use as teaching relief, research activities (including salary support) and/or graduate student support; plus $7500 to department for teaching relief

CAP member: Provide support equal to 11% of annual covered compensation rate to use as teaching relief, research activities (including salary support) and/or graduate student support

Funds transferred in October
Family Accommodations

- Provides temporary FTE funding at the standard course rate to hire a teaching replacement for a faculty member who is on child-bearing leave or on active service with modified duties.
- Can request funding for one undergraduate course per quarter, for up to two quarters.
- Complete a FAR form to request the leave and funding.
- Funds are transferred in the quarter that the leave is taken.
Faculty Leaves with Salary Savings

- Faculty leaves that generate salary savings, excluding FSEP or FLP
  - LWOP
  - Switch to Research Title
  - Partial Pay Sabbatical
- Department retains 60% of savings and 40% of savings is returned to EVC
- After three consecutive quarter of LWOP, 100% of savings is returned to EVC
- Reports generated quarterly, with quarterly draw off, usually December, March, June
Other Allocations

- Faculty Career Development Awards
  - October transfer
- Undergraduate Seminars
  - October, January, April
- Hellman Fellowships
  - July
  - December, June (return of funds)
- Pathways to Retirement
  - April
Benefits and General Liability

- Same process as prior years
- Reimbursement based on actual costs for sub-0 and sub-1 employees, plus sub-2 TA/reader
- Allocated one month in arrears