

**REPORT OF CATEGORY I AND II COMPENSATED
OUTSIDE PROFESSIONAL ACTIVITIES
FOR THE FISCAL YEAR ENDING JUNE 30, _____
EXPLANATIONS FOR INFORMATION REQUESTED**

Compensated Outside Activities: *Compensation* is defined broadly as all types of remuneration (including stock options) realized or having the potential to become realized for outside activity, excluding customary honoraria and reimbursement for reasonable travel expenses and per diem.

Fiscal-Year Faculty Appointment: A *Fiscal-Year Appointment* is an appointment in which the faculty member renders service to the University throughout the calendar year as opposed to the academic year (APM - 600-4-f). Fiscal-year faculty accrue vacation time in accordance with APM - 730.

Terms of Leave, if any: A faculty member may be permitted to go on full- or part-time leave in order to pursue certain compensated outside professional activities. If you were on such leave during any part of the pertinent fiscal year, provide information here about the percentage of time and inclusive months.

Category: For each activity, enter I or II.

Category I activities include: teaching, research, or administering a grant at an educational institution, trust, organization, government agency, or foundation outside of the University; employment outside the University; assuming a founding/co-founding role of a company; assuming an executive or managerial position outside of the University. You must receive prior approval to engage in Category I activities, which always count toward the 21- 48-day limit and must be reported annually.

Category II activities include: additional University-compensated teaching, including teaching pursuant to APM – 662, Additional Compensation: Additional Teaching, for UNEX courses and programs, other continuing education programs run by the University, and self-supporting UC degree programs; consulting under the auspices of the University of California; consulting or testifying as an expert or professional witness; consulting for for-profit entities; consulting for non-profit entities; consulting for non-profit health or education-related organizations; consulting for government agencies; serving on a board of directors outside of the University whether compensated or uncompensated; providing or presenting a workshop for industry; providing outside consulting or compensated professional activities performed for entities such as the Los Alamos and Lawrence Livermore National Laboratories; other income-generating activities specified in approved Implementing Procedures. Category II activities are counted within the 21- 48-day limit and must be reported annually.

Number of Days: A full-time faculty member may engage in outside professional activities 21- 48 days depending on the terms of the Department/School Implementing Procedures.

Enter the approximate number of days you worked on this activity during your period of active service to the University. For compensated outside professional activities a *Day* is defined using common sense and customary practice. This definition may vary by campus and/or discipline. A School or Departmental Implementing Procedure may include a more specific definition of a day. For additional teaching activities, the general rule is that every six contact or “podium” hours spent with students equals one day. See APM - 662 for further information.

Role: Use one or more of the following terms to describe your relationship to the entity identified in the last column: Founder/co-founder, owner, board member, consultant, recipient of equity or royalty interest, stockholder or partnership interest, salaried employee, or other (explain).

Compensation Dollars in Thousands: If income earned for the activity did not exceed the annual threshold, check the box in the left column. If income earned exceeded the annual threshold, enter the amount earned in the right column.

**ANNUAL HEALTH SCIENCES COMPENSATION PLAN REPORTING FORM
FOR CATEGORY I & II COMPENSATED OUTSIDE PROFESSIONAL ACTIVITIES**

Fiscal Year Ending June 30, _____

In accordance with APM - 671, all Compensation Plan participants are required to complete this form not later than _____

| | | |
|-----------------------------|----------------|------------|
| Faculty Member Name (Print) | Academic Title | Department |
|-----------------------------|----------------|------------|

| Category I or II | # of Days | Name of Outside Entity | Description of Services Provided | Role (e.g., consultant, speaker, employee, shareholder) | Compensation Dollars in Thousands | |
|---------------------|-----------|------------------------|----------------------------------|---|--|----------------------------------|
| | | | | | Income earned did not exceed the threshold | Income earned exceeded threshold |
| | | | | | <i>Check left column if income earned did not exceed the threshold of \$_____. If income earned exceeded threshold, enter amount earned in right column.</i> <input type="checkbox"/> | \$ |
| | | | | | <input type="checkbox"/> | \$ |
| | | | | | <input type="checkbox"/> | \$ |
| | | | | | <input type="checkbox"/> | \$ |
| | | | | | <input type="checkbox"/> | \$ |
| | | | | | <input type="checkbox"/> | \$ |
| <i>Total # Days</i> | | | | <i>Total income earned</i> | \$ | \$ |

| | |
|---|------|
| _____ I did not engage in Category I or II activities during the reporting period. _____ Total income earned did not exceed the earnings threshold. | |
| I certify that I have complied with the provisions of the University of California Health Sciences Compensation Plan, the School of _____ Health Sciences Compensation Plan Implementation Procedures, and my departmental guidelines for the Plan regarding limitations on the retention of earnings, and time spent in Outside Professional Activities. | |
| Faculty Member Signature | Date |

| | |
|---|------|
| The department chair's signature affirms the form was received and reviewed. Corrective actions should be implemented for time reports (days) that are above the annual limit and for unapproved Category I activities. | |
| Department Chair Signature | Date |

N.B.: Information disclosed herein is a public record under the California Public Records Act